

# The Pontryagin Forms of Hessian Manifolds

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# Summary

## Question

Given a Riemannian metric  $g$ , under what circumstances is it locally a Hessian metric?

## Question

When can we locally find a function  $f$  and coordinates  $x$  such that  $g_{ij} = \partial_i \partial_j f$ ?

## Answer (Partial)

In dimension 2 all analytic metrics  $g$  are Hessian. In dimensions 3 the general metric is not Hessian. In dimensions  $\geq 4$  there are even restrictions on the curvature tensor of  $g$  — in particular the Pontrjagin forms vanish.

# Solving unusual partial differential equations

## Question

Given a symmetric  $g$ , when can we locally find a function  $f$  and coordinates  $x$  such that  $g_{ij} = (\partial_i f)(\partial_j f)$ ?

## Answer

Only if  $g$  lies in the  $n$  dimensional subspace  $\text{Im } \phi \subset S^2 T$  where

$$\phi : T \rightarrow S^2 T \quad \text{by } \phi(x) = x \odot x.$$

*Sometimes we can't find a solution even at a point.*

## Question

Given a one form  $\eta$ , when can we locally find a function  $f$  such that  $df = \eta$ .

## Answer

Since  $ddf = 0$  we must have  $d\eta = 0$  at  $x$ . *Sometimes we can find a solution at a point, but can't extend it even to first order around  $x$ .*

## Generalizing

- ▶ Let  $E$  and  $F$  be vector bundles and let  $D : \Gamma(E) \rightarrow \Gamma(F)$  be a differential operator.
- ▶  $D : J_k(E) \rightarrow F$  where  $J_k$  is the bundle of  $k$  jets.
- ▶ Define  $D_1 : J_{k+1}(E) \rightarrow J_1(F)$  to be the first *prolongation*. This is the operator which maps a section  $e$  to the one jet of  $j_1(De)$ .
- ▶ Define  $D_i : J_{k+i}(E) \rightarrow J_i(F)$  to be the  $i$ -th prolongation  $e \rightarrow j_i(e)$

We can only hope to solve the differential equation  $De = f$  if we can find an algebraic solution to every equation

$$D_i e = j_i(f)$$

at the point  $x$ .

Applying the fact that derivatives commute may yield obstructions to the existence of solutions to a differential equation even locally.

# Dimension counting

- ▶ The dimension of the space of  $k$ -jets of 1 functions of  $n$  real variables is:

$$\dim J_k := \sum_{i=0}^{k+2} \dim(S^i T) = \sum_{i=0}^k \binom{n+i-1}{i}.$$

The reason for this is that derivatives commute. Note this fact is also encoded in the statement  $ddf = 0$ .

# The counting argument

- ▶ We wish to solve

$$\frac{\partial}{\partial x_i} \frac{\partial}{\partial x_j} f = g_{ij}.$$

which is a second order equation for  $f$  and coords  $x$ . So input is  $n + 1$  functions of  $n$  variables.

- ▶ Dimension of space of  $(k + 2)$  jets of  $f$  and  $x$

$$d_k^1 = \dim J_{k+2}(x, f) = \sum_{i=0}^{k+2} (n+1) \binom{n+i-1}{i}.$$

- ▶ Dimension of space of  $k$  jets of  $g$ :

$$d_k^2 = \dim J_k(g) = \sum_{i=0}^k \frac{n(n+1)}{2} \binom{n+i-1}{i}.$$

- ▶ If  $n > 2$   $d_k^1$  grows more slowly than  $d_k^2$ . So most metrics are not Hessian metrics.

## Informal version

- ▶ A Riemannian metric depends on  $\frac{n(n+1)}{2}$  functions of  $n$  variables.
- ▶ A Hessian metric depends on  $n + 1$  functions of  $n$  variables.
- ▶ “Therefore” if  $n > 2$  there are more Riemannian metrics than Hessian metrics.
- ▶ Note: this computation is suggestive but slightly wrong because we’ve ignored the diffeomorphism group. It would suggest that in dimension 1 there are more Hessian metrics than Riemannian metrics!

# Curvature

Reminder:

- ▶ Hessian metrics locally correspond to  $g$ -dually flat structures, and vice versa.
- ▶  $g$ -dually flat means  $\bar{\nabla}$  is flat and it's dual w.r.t.  $g$   $\bar{\nabla}^*$  is flat.

$$g(\nabla_Z X, Y) = g(X, \nabla_Z^* Y).$$

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## Proposition

Let  $(M, g)$  be a Riemannian manifold. Let  $\nabla$  denote the Levi-Civita connection and let  $\bar{\nabla} = \nabla + A$  be a  $g$ -dually flat connection. Then

- The tensor  $A_{ijk}$  lies in  $S^3 T^*$ . We shall call it the  $S^3$ -tensor of  $\bar{\nabla}$ .
- The  $S^3$ -tensor determines the Riemann curvature tensor as follows:

$$R_{ijkl} = -g^{ab} A_{ika} A_{jlb} + g^{ab} A_{ila} A_{jkb}.$$



## Proof

- ▶  $\bar{\nabla}$  is torsion free implies  $A \in S^2 T^* \otimes T$
- ▶ Using metric to identify  $T^*$  and  $T$ , both  $\bar{\nabla}$  and  $\bar{\nabla}^*$  are torsion free implies  $A \in S^3 T^*$
- ▶  $\bar{R} = 0$ . But by definition:

$$\bar{R}_{XY}Z = \bar{\nabla}_X \bar{\nabla}_Y Z - \bar{\nabla}_Y \bar{\nabla}_X Z - \bar{\nabla}_{[X,Y]}Z$$

Expanding in terms of Levi-Civita:

$$\bar{R}_{XY}Z = R_{XY}Z + 2(\nabla_{[X}A)_{Y]}Z + 2A_{[X}A_{Y]}Z$$

Curvature symmetries tell us (using  $g$  to identify  $T$  and  $T^*$ ):

$$R \in \Lambda^2 T \otimes \Lambda^2 T$$

On the other hand:

$$(\nabla_{[\cdot}A)_{\cdot]} \in \Lambda^2 T \otimes S^2 T$$

Projecting the equation onto  $\Lambda^2 T \otimes \Lambda^2 T$  gives the desired result.

## Curvature obstruction

Define a quadratic equivariant map  $\rho$  from  $S^3 T^* \rightarrow \Lambda^2 T^* \otimes \Lambda^2 T^*$  by:

$$\rho(A_{ijk}) = -g^{ab} A_{ika} A_{jlb} + g^{ab} A_{ila} A_{jkb}$$

If  $g$  is a Hessian metric  $R$  lies in image of  $\rho$ .

### Corollary

In dimension  $\geq 5$ ,  $\rho$  is not onto. Therefore there condition  $R \in \text{Im } \rho$  is an obstruction to a metric being a Hessian metric.

Proof.

$$\dim \mathcal{R} = \dim(\text{Space of algebraic curvature tensors}) = \frac{1}{12} n^2 (n^2 - 1)$$

$$\dim(S^3 T) = \frac{1}{6} n(1+n)(2+n)$$

The former is strictly greater than the latter if  $n \geq 5$

## Dimension 4

Numerical observation:  $\rho$  is not onto in dimension 4 even though  $\dim \mathcal{R} = \dim(S^3 T^*) = 20$ .

**Proof.**

Pick a random  $A \in S^3 T^*$  and compute rank of  $(\rho^*)_A$ , the differential of  $\rho$  at  $A$ . It is 18 whereas the space of algebraic curvature tensors is 20 dimensional. (Proof with probability 1)  $\square$

## Question

What are the conditions on the curvature tensor for it to lie in the image of  $\rho$ ?

### What does this question mean?

- ▶ This is an *implicitization* question.  $\text{Im } \rho$  is given parametrically by the map  $\rho$ . We want implicit equations on the curvature tensor that define  $\text{Im } \rho$ .
- ▶ This is a real algebraic geometry question and so we should expect inequalities for our implicit equations. (e.g.  $\text{Im } x^2 = \{y : y \geq 0\}$ )
- ▶ Complexify the vector spaces to get a complex algebraic geometry where we expect equalities for our implicit equations. This is how we choose to interpret the question.
- ▶ Gröbner basis algorithms allow us to solve the latter problem in principle (for fixed  $n$ ) but not in practice (doubly exponential time is common).
- ▶ Algorithms do exist for the real algebraic geometry problem too, but they're even less practical.

## Strategy

- ▶ Space of algebraic curvature tensors  $\mathcal{R}$  is associated to a representation of  $SO(n)$ .
- ▶ Decompose  $\mathcal{R}$  into irreducible components under  $SO(n)$
- ▶ Any invariant linear condition on  $\mathcal{R}$  can be expressed as a linear combination of these irreducibles.
- ▶ Decompose  $S^2\mathcal{R} \oplus \mathcal{R}$  into irreducibles. Any invariant quadratic condition on  $\mathcal{R}$  can be expressed as a linear combination of these irreducibles. etc.
- ▶ If we have  $m$  irreducible components  $\rho_1(R), \rho_2(R), \dots, \rho_m(R)$ . Choose  $m + 1$  random tensors  $A$  and solve the equation

$$\sum_i \alpha_i \rho_i(R) = 0$$

for  $\alpha_i$ . (In fact we only need to check linear combinations over isomorphic components)

- ▶ This is feasible in dimension 4. Representation theory of  $SU(2) \times SU(2)$  is simple.

# Hessian curvature tensors in dimension 4

## Theorem

*The space of possible curvature tensors for a Hessian 4-manifold is 18 dimensional. In particular the curvature tensor must satisfy the identities:*

$$\alpha(R_{ija}{}^b R_{klb}{}^a) = 0$$

$$\alpha(R_{iajb} R_k{}^b{}_{cd} R_l{}^{dac} - 2R_{iajb} R_{kc}{}^a{}_d R_l{}^{dbc}) = 0$$

*where  $\alpha$  denotes antisymmetrization of the  $i, j, k$  and  $l$  indices.*

## Proof.

Using a symbolic algebra package, write the general tensor in  $S^3 T^*$  with respect to an orthonormal basis in terms of its 20 components. Compute the curvature tensor using  $\rho$ . One can then directly check the above identities.  $\square$

- ▶ Both expressions define 4-forms on a general Riemannian manifold. The first is a well-known 4-form. It defines the first Pontrjagin class of the manifold.

# Pontrjagin forms

- ▶ The Gauss–Bonnet formula gives an important link between curvature and topology. In this case the integral of scalar curvature is related to the Euler class.
- ▶ The theory of *characteristic classes* generalizes this.
  - ▶ To a complex vector bundle  $V$  over a manifold  $M$  one can associate topological invariants, the Chern classes  $c_i(V) \in H^{2i}(M)$ .
  - ▶ The Pontrjagin classes of a real vector bundle  $V^{\mathbb{R}}$  are defined to be the Chern classes of the complexification  $p_i(V^{\mathbb{R}}) \in H^{4i}(M)$ .
  - ▶ The Pontrjagin classes of a manifold are defined to be the Pontrjagin classes of its tangent bundle.
  - ▶ It is possible to find explicit representatives for the De Rham cohomology classes of a bundle by computing appropriate polynomial expressions if a curvature tensor for the bundle.
  - ▶ We call these explicit representatives *Pontrjagin forms*.

# Relationship between Pontrjagin forms and curvature

## Theorem

For each  $p$ , the form  $Q_p(R)$  defined by:

$$Q_{i_1 i_2 \dots i_{2p}}^p = \sum_{\sigma \in S_{2p}} \operatorname{sgn}(\sigma) R_{i_{\sigma(1)} i_{\sigma(2)}}^{a_1} R_{i_{\sigma(3)} i_{\sigma(4)}}^{a_2} R_{i_{\sigma(5)} i_{\sigma(6)}}^{a_3} \dots R_{i_{\sigma(2p-1)} i_{\sigma(2p)}}^{a_p}$$

is closed. The Pontrjagin forms can all be written as algebraic expressions in these  $Q_p(R)$  using the ring structure of  $\Lambda^*$  and vice-versa.

This is a standard result from the theory of characteristic classes.



# Main result

## Theorem

The forms  $Q_p(R)$  vanish on Hessian manifolds, hence the Pontrjagin forms vanish on Hessian manifolds.

## Corollary

If a manifold  $M$  admits a metric that is everywhere locally Hessian then its Pontrjagin classes all vanish.

Note that we're being clear to distinguish this from the case of a manifold which is globally dually flat, where the vanishing of the Pontrjagin classes is a trivially corollary of the existence of flat connections.

# Graphical notation

$$\rho(A_{ijk}) = -g^{ab} A_{ika} A_{jlb} + g^{ab} A_{ila} A_{jkb}$$

$$R_{ijkl} = - \begin{array}{c} i \quad j \\ | \quad | \\ \hline | \quad | \\ k \quad l \end{array} + \begin{array}{c} i \quad j \\ \diagdown \quad \diagup \\ \diagup \quad \diagdown \\ k \quad l \end{array} .$$

- ▶ Trivalent graph
- ▶ Each vertex represents the tensor A
- ▶ Connecting vertices represents contraction with the metric
- ▶ Picture naturally incorporates symmetries of A

$$R_{i_1 i_2 ab} = \sum_{\sigma \in S_2} -\text{sgn}(\sigma) \begin{array}{c} i_{\sigma(1)} \quad i_{\sigma(2)} \\ | \quad | \\ \hline | \quad | \\ a \quad b \end{array} .$$

# Proof

$$R_{i_1 i_2 ab} = \sum_{\sigma \in S_2} -\operatorname{sgn}(\sigma) \begin{array}{c} i_{\sigma(1)} \quad i_{\sigma(2)} \\ | \quad \quad | \\ \text{---} \\ | \quad \quad | \\ a \quad \quad b \end{array} .$$

By definition:

$$Q_{i_1 i_2 \dots i_{2p}}^p = \sum_{\sigma \in S_{2p}} \operatorname{sgn}(\sigma) R_{i_{\sigma(1)} i_{\sigma(2)} a_1}^{a_2} R_{i_{\sigma(3)} i_{\sigma(4)} a_2}^{a_3} R_{i_{\sigma(5)} i_{\sigma(6)} a_3}^{a_4} \dots R_{i_{\sigma(2p-1)} i_{\sigma(2p)} a_p}^{a_1}$$

We can replace each  $R$  with an  $H$ :

$$(-1)^p \sum_{\sigma \in S_{2p}} \operatorname{sgn}(\sigma) \begin{array}{c} i_{\sigma(1)} \quad i_{\sigma(2)} \quad i_{\sigma(3)} \quad i_{\sigma(4)} \quad i_{\sigma(5)} \quad i_{\sigma(6)} \quad \dots \quad i_{\sigma(2p-1)} \quad i_{\sigma(2p)} \\ | \quad | \quad | \quad | \quad | \quad | \quad \dots \quad | \quad | \\ \text{---} \\ | \quad | \quad | \quad | \quad | \quad | \quad \dots \quad | \quad | \end{array}$$

Since the cycle  $1 \rightarrow 2 \rightarrow 3 \dots \rightarrow 2p \rightarrow 1$  is an odd permutation, one sees that  $Q^p = 0$ .

# Summary

- ▶ In dimension 2 all metrics are locally Hessian (Use Cartan–Kähler theory. Proved independently by Robert Bryant)
- ▶ In dimensions  $\geq 3$  not all metrics are locally Hessian
- ▶ In dimensions  $\geq 4$  there are conditions on the curvature
- ▶ In dimension 4 we have identified two conditions explicitly. These are necessary conditions and, working over the complex numbers, they characterize  $\text{Im } \rho$ .
- ▶ In dimension  $n \geq 4$  we have identified a number of explicit curvature conditions in terms of the Pontrjagin forms. Dimension counting tells us that other curvature conditions exist, but we do not know them explicitly.